# Received by NSD/FARA Registration Unit 05/04/2021 2:55:03 PM OMB No. 1124-0003; Expires July 31, 2023

U.S. Department of Justice

Washington, DC 20530

# Amendment to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. File this amendment form for any changes to a registration. Compliance is accomplished by filing an electronic amendment to registration statement and uploading any supporting documents at <a href="https://www.fara.gov">https://www.fara.gov</a>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <a href="https://www.fara.gov">https://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="https://www.fara.gov">https://www.fara.gov</a>

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant	2. Registration Number
BCW LLC	6227
<ol> <li>This amendment is filed to accomplish the following indicated</li> <li>▼ To give notice of change in information as required by Sec</li> </ol>	
☐ To correct a deficiency in ☐ Initial Statement	
☐ Supplemental Statement for the 6 month period endir ☐ Other purpose (specify)	
☐ To give notice of change in an exhibit previously filed.	
in 2019 • Letter of Engagement dated March 6, 2	
5. Each item checked above must be explained below in full deta of the item in the registration statement to which it pertains.	il together with, where appropriate, specific reference to and identity

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#### **EXECUTION**

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature <sup>1</sup>
May 04, 2021	Sharon Balkam	/s/ Sharon Balkam eSigned
		Sign
		Sign
		Sign

<sup>&</sup>lt;sup>1</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.



## Hikvision USA Inc. /BCW LLC Engagement Letter

January 9, 2019

Jeffrey He President, North America Hikvision USA Inc. 18639 Railroad Street City of Industry, CA 91748

## Dear Jeffrey:

This letter of engagement ("Engagement Letter") outlines the role, scope of work, and specific financial and billing terms of BCW LLC ("BCW") for the services specified below to be rendered to Hikvision USA Inc. ("Client") which will commence on January 1, 2019 and continues through December 31, 2019. It is understood that this Engagement Letter complements and is governed by the Legal Contract Terms and Conditions, effective December 1, 2017 ("Agreement") that has been submitted under separate cover.

#### Scope of Work:

During the term of this engagement, BCW will provide Client with the following professional services:

 Advise on public affairs and policy issues, Strategic planning and guidance, Media relations, Issues management, Internal and external communications, Coordinate outside consultants, Message development, Media monitoring, Media Engagement, Fact Sheets, Messages, and Q&As.

#### **Financial Terms:**

- Professional fees for the scope of work outlined above are estimated to be \$25,000 per month, with a monthly
  not-to-exceed of \$50,000 without prior written approval (email approval shall suffice) and will be billed to Client
  as stated in the Billing/Payment Terms below. The total estimated professional fee budget is based on services
  being rendered through the full term of this engagement. It is understood that the actual value of services per
  month may vary based on the specific services needed in each month.
- Actual and documented expenses such as postage, messenger, long distance telephone charges, photography, travel and related expenses and 3<sup>rd</sup> party vendor invoices will be billed in addition to the fees noted above.
   Please note that production related 3<sup>rd</sup> party vendor costs will bear a commission of 17.65%.

## **Billing/Payment Terms:**

- An invoice in the amount of \$25,000 will be sent to Client immediately. Payment of this initial invoice is due
  immediately upon receipt. Thereafter, on or about the first of each month, an invoice in the amount of \$25,000
- Estimated fees will be reconciled monthly against actual hours incurred at Burson's standard hourly rates and
  expenses. If the actual fees and expenses incurred in providing Client's services exceed the estimated fees paid

Engagement Letter Page 1 of 4 by Client, Burson will issue Client an invoice for such additional fees. If any credits remain upon completion of services under this engagement and provided Client has remitted payment to Burson for all sums due, Burson shall issue Client a refund for such difference.

Expenses will be invoiced monthly as incurred. Expenses shall be listed on a category basis (e.g. telephone, fax, photography, etc.). Supporting documentation will be provided at Client's written request.

All U.S. professional fees will be based on time input at BCW's hourly rates in effect at the time such services are rendered. Such hourly rates are attached hereto as **Exhibit A** and incorporated herein by reference.

Except as may otherwise be noted above, payment of all invoices is due thirty (30) days of each invoice date, unless advance payments to third parties are required. In the case of advance payments to third parties, Client agrees to pay BCW immediately upon receipt of a BCW invoice for any such third-party invoice.

Payments may be wire transferred to the following account:



BCW reserves the right, at its sole discretion, not to commence or continue any services under this engagement if Client fails to remit any payment due hereunder within the time frame specified herein. It is clearly understood and agreed that should BCW exercise its right hereunder, BCW shall not be liable for any costs whatsoever (including without limitation, any cancellation fees or penalties), arising out of or resulting from BCW exercising such right.

Any work beyond the Scope of Work outlined in this Engagement Letter will be negotiated separately and outlined in a separate engagement letter on a per project basis. No such additional work will begin without the prior written approval of both parties.

This engagement can be cancelled at any time by either party with thirty (30) days' written notice. Client will be responsible for payment of the monthly fee (or pro-rata portion thereof) and/or hourly fees, whichever is greater, plus expenses incurred to date (including the thirty (30) days following the notification).

Please indicate your confirmation and acceptance of the above by signing in the space provided below and returning one (1) original signed copy of this Engagement Letter.

Engagement Letter Page 2 of 4 Yours sincerely,

**BCW LLC** 

Name: Susan Lagana

EVP, Managing Director

Date: 01.09.19

**BCW LLC** 

Name: Sharon Balkam

Title: EVP, Managing Director, Finance

Date: <u>01.09.19</u>

Accepted and agreed upon by an authorized signatory of:

HIKVISION USA INC.

**Engagement Letter** Page 3 of 4

## **EXHIBIT A**

## BCW LLC 2019 Hourly U.S.A. Billing Rate Chart



Engagement Letter Page 4 of 4



## Hikvision USA Inc. /BCW LLC Engagement Letter

March 26, 2020

Jeffrey He Vice President, Global Public Affairs Hikvision USA Inc. 18639 Railroad Street City of Industry, CA 91748

Dear Jeffrey:

This letter of engagement ("<u>Engagement Letter</u>") outlines the role, scope of work, and specific financial and billing terms of BCW LLC ("<u>BCW</u>") for the services specified below to be rendered to Hikvision USA Inc. ("<u>Client</u>") which will commence on January 1, 2020 and continues through December 31, 2020, unless otherwise agreed to in advance and in writing by Parties (E-mail shall suffice). It is understood that this Engagement Letter complements and is governed by the Legal Contract Terms and Conditions, effective December 1, 2017 ("<u>Agreement</u>") and amended on January 9, 2019 that has been previously executed by both parties.

## Scope of Work:

During the term of this engagement, BCW Global may provide Client with the following professional services unless otherwise agreed to in advance and in writing by Parties (E-mail shall suffice):

 Advise on public affairs and policy issues, Strategic planning and guidance, Media relations, Issues management, Internal and external communications, Coordinate outside consultants, Message development, Media monitoring, Media Engagement, Fact Sheets, Messages, and Q&As.

### Financial Terms:

- Professional fees for the scope of work outlined above are estimated to be \$25,000 per month, with a monthly not-to-exceed of \$50,000 without prior written approval (email approval shall suffice) and will be billed to Client as stated in the Billing/Payment Terms below. The total estimated professional fee budget is based on services being rendered through the full term of this engagement. It is understood that the actual value of services per month may vary based on the specific services needed in each month.
- Actual and documented expenses such as postage, messenger, long distance telephone charges, photography, travel and related expenses and 3<sup>rd</sup> party vendor invoices will be billed in addition to the fees noted above.
   Please note that production related 3<sup>rd</sup> party vendor costs will bear a commission of 17.65%.

#### Billing/Payment Terms:

 An invoice in the amount of \$25,000 will be sent to Client immediately. Payment of this initial invoice is due immediately upon receipt. Thereafter, on or about the first of each month, an invoice in the amount of \$25,000

Engagement Letter Page 1 of 4

- Estimated fees will be reconciled monthly against actual hours incurred at BCW's standard hourly rates and
  expenses. If the actual fees and expenses incurred in providing Client's services exceed the estimated fees paid
  by Client, BCW will issue Client an invoice for such additional fees. If any credits remain upon completion of
  services under this engagement and provided Client has remitted payment to BCW for all sums due, BCW shall
  issue Client a refund for such difference.
- Expenses will be invoiced monthly as incurred. Expenses shall be listed on a category basis (e.g. telephone, fax, photography, etc.). Supporting documentation will be provided at Client's written request.

All U.S. professional fees will be based on time input at BCW's hourly rates in effect at the time such services are rendered. Such hourly rates are attached hereto as **Exhibit A** and incorporated herein by reference.

Except as may otherwise be noted above, payment of all invoices is due thirty (30) days of each invoice date, unless advance payments to third parties are required. In the case of advance payments to third parties, Client agrees to pay BCW immediately upon receipt of a BCW invoice for any such third-party invoice.

Payments may be wire transferred to the following account:

Beneficiary Bank: Redacted
Account Name:
Bank Address:

Account#:
ABA Routing#:
Swift Code:
Chips Code:

BCW reserves the right, at its sole discretion, not to commence or continue any services under this engagement if Client fails to remit any payment due hereunder within the time frame specified herein. It is clearly understood and agreed that should BCW exercise its right hereunder, BCW shall not be liable for any costs whatsoever (including without limitation, any cancellation fees or penalties), arising out of or resulting from BCW exercising such right.

Any work beyond the Scope of Work outlined in this Engagement Letter will be negotiated separately and outlined in a separate engagement letter on a per project basis. No such additional work will begin without the prior written approval of both parties.

This engagement can be cancelled at any time by either party with thirty (30) days' written notice. Client will be responsible for payment of the monthly fee (or pro-rata portion thereof) and/or hourly fees, whichever is greater, plus expenses incurred to date (including the thirty (30) days following the notification).

Please indicate your confirmation and acceptance of the above by signing in the space provided below and returning one (1) original signed copy of this Engagement Letter.

Engagement Letter Page 2 of 4 Yours sincerely,

**BCW LLC** 

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Name: Matthew Ballard

Title: SVP, Senior Vice President

Date: <u>03.26.20</u>

**BCW LLC** 

Name: Sharon Balkam

Title: EVP, Managing Director, Finance

Date: \_\_03.26.20

Accepted and agreed upon by an authorized signatory of:

HIKVISION USA INC.

By.

Name: ONU

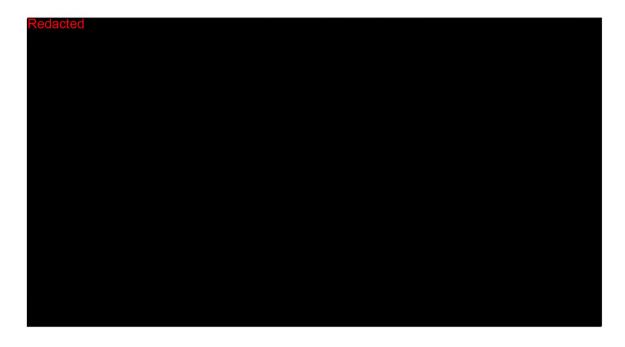
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Date: 04/03/>0>0

Engagement Letter Page 3 of 4

## EXHIBIT A

## BCW LLC 2020 Hourly U.S.A. Billing Rate Chart



Engagement Letter Page 4 of 4



# Hikvision USA Inc./BCW LLC Amendment No 1 to the Engagement Letter

January 13, 2021

Jeffrey He
Vice President, Global Public Affairs
Hikvision USA Inc.
18639 Railroad Street
City of Industry, CA 91748

Dear Jeffrey:

This letter shall serve as an amendment No.1 ("Amendment No. 1") to the letter of agreement dated March 26,2020 ("Agreement"), entered into by and between Hikvision USA Inc. ("Client") and BCW LLC ("BCW"), collectively referred to as the ("Parties").

Whereas BCW and Client agree that the following changes shall be made to the Agreement:

• The termination date of December 31, 2020 shall be extended until December 31, 2021.

All other terms and conditions of the Agreement shall remain in full force and effect.

BCW and Client indicate their acceptance of the terms of this Amendment No. 1 by having their duly authorized representatives sign in the spaces provided below.

BCW LLC	BCW LLC
By: Ath Sax	By: Salp Ball
Name: Matthew Ballard	Name: Sharon Balkam
Title: <u>Senior Vice President</u>	Title: EVP, Finance Director
Date: <u>01.13.2021</u>	Date: 01.13.2021
Accepted and agreed upon by an authorized sig	gnatory of:
HIKVISION USA INC.	
By:	
Name: <u>Jeffrey He</u>	
Title: Vice President, Global Affairs	
Date: 01 19 2021	